EXHIBIT B MARKED-UP SPECIFICATION

15

20

25

Solubilizates of essential oils and other substances

This is a nationalization of PCT/EP2004/008175 filed 5 22 July 2004 and published in German.

In the processing for the integration of active agents and additives in the end products in the foodstuff, cosmetic and pharmaceutical sector as well as in the nutrient solutions for cells or bacterial cultures, stable, homogeneous, fine distribution of the active agents or additives in the respective end product stands in foreground due to reasons of production technology, safety and practicability in the applications, compliance with the legal regulations as well as visual appearance.

Besides the stability of the homogeneity, which must often be guaranteed for several years, optimally fine distribution of the active substances or additives in smallest volume units of the respective end products plays a decisive role. The integration of the water soluble active agents or additives in the water-containing end products is in general possible with finest distribution of particles.

____In contrast to that the integration of the active agents or additives, which are fat-soluble and are not soluble, or soluble with difficulty, in water, into

20

the end products represents, a problem from the physical viewpoint, because such active agents or additives can be integrated in the end products for the purpose of achieving homogeneous distribution only after the corresponding time-consuming and elaborate matrix design (oil/oil mixture or oil/water emulsion).

____In order, for instance, to integrate, a fatsoluble substance such as retinol or beta carotene

(daily requirement about 2 mg/day) into a quantity of
the end product, which is consumed or applied in a
day, the volume of these small quantities of retinol
or P-carotene must be increased through undesirable
addition of a disproportionately large quantity of
oil, so that an optimally homogeneous distribution can
be ensured in the end product.

However, this expansion of the volume, undesirable but necessary due to physical reasons, of the aforementioned substances for the purpose of achieving homogeneous distribution in the end products is technologically essential both in the foodstuff as well as in the cosmetic and pharmaceutical products.

The oil-water emulsion of these substances for the purpose of integration into the end products is not less elaborate, whereby, due to the particle size of at least lp in the emulsion, no optimally fine distribution in the end product is possible. Apart from the fact that the substances that are not soluble, or soluble with difficulty, in water, lead to problems in the processing for reasons mentioned above, and can result in poor homogeneity, these

20

25

30

substances, incorporated in oil/oil mixtures or oil/water emulsions, can be resorbed only to a limited extent.

The underlying problem of the invention is to integrate substances that are not soluble in water, or soluble in water with difficulty, so that, following their addition into water or oil, they give a clear solution and can be integrated in foodstuff, cosmetic, pharmaceutical or nutrient solutions with finest homogeneous distribution.

_____To that end, the intention of the invention is to provide a concentrate, which consists of an active agent from the group, comprising an algae oil, an essential oil, a terpene, phosphatidylserine, a co-3-fatty acid, lanolin, conjugated linoleic acid triglyceride, a citral and tea tree oil and a surplus of polysorbate. Possibly addition of glycerol to the mixture may also come in question. Especially preferred is the use of polysorbate 80, however, in the essential oils, of polysorbate 20. The concentrates, according to the invention, of the substances, for which the preferred compositions are given in detail in the dependent claims, have proved to be very effective and reliable.

Concentrates according to the invention that are without moisture but soluble in water, can be obtained, for example, by mixing the relevant active agent with a surplus of polysorbate 80 or polysorbate 20 and stirring the mixture until it is clear. To accelerate the mixing process, it is recommended to

heat the mixture to a temperature of approximately-80°C to approximately. 100°C.

The active agent is micelled in this manner,

whereby the individual micelles have a size of not
greater than about 40 nm. With this micelling, the
resorption and the penetration of the substance uptake
in the respective digestive tract or skin is
substantially enhanced. The concentrates according to
the invention are easily soluble in water. To
accelerate the dissolution into water, it is
recommended to stir water, mildly heated to
approximately- 40°C, into the concentrate.

_____The concentrates according to the invention find

application as additives to foodstuffs, in particular
to non-alcoholic drinks, in cosmetic products as
additives to salves and similar personal hygiene
products, as additives to pharmaceutical preparations
or nutrient solutions.

20

____The invention is explained on the basis of the following exemplary instances.

Example 1 (Algae oil solubilizate):

25

Material

a) An algae oil with proportion of about 45% of DHA (ω 3-fatty acid C 22:6) according to the enclosed following DHActive Specifications:

30

	Description:	Vegetable oil from microalgae,
		containing approx. 45 %
		docosahexaeaoic acid(DHA)
	Composition:	Triacyiglycerols (> 95%)
5	Appearance:	Light waxy to fluid
	Color:	Light yellow
	Odor:	Characteristic
	Taste:	Characteristic
10	Fatty Acid Compos	sition
	22:6	DHA43-50 %
	Chemical Characte	eristics
	Free fatty acids	< 0.1 %
15	Peroxide value	< 5.0 meq./kg
	<u>Unsaponifiables</u>	< 2.0 %
	Miscellaneous	
	Proteins	< 0.1 %
20	Hexane	< 1.0 ppm
	Elemental composi	ition
	Arsenic	< 0.5 ppm
	Lead	< 0.1 ppm
25	Mercury	< 0.5 ppm
	Antioxidant	
	Mixed natural too	copherols (1000 ppm added)

b) Polysorbate 80

10

25

30

until the mixture is clear or has homogeneous distribution and stirred until it is clear. To accelerate the mixing process, the mixture is heated up to approximately- 80°C. The solubilizate prepared in this manner contains 7% w/w of DHA (=docosahexaenoic acid). After completion of the mixing process, the mixture is cooled again and filled flushing it with nitrogen with exclusion of air oxygen and packed. If a polysorbate other than polysorabate 80 is used, the mixing ratio necessary for achieving the desired clearness of the mixture changes.

The solubilizate prepared in this manner can be dissolved in water giving a stable and clear solution. To accelerate the process of the dissolution into water, the concentrate and the water can preferably be heated to approximately- 40° C to approximately. 45° C. 2 g of this solubilizate covers the daily requirement of DHA (ω -3-fatty acid).

In place of algae oil, an ω -3-fatty acid containing animal fat, for example, one with 50% w/w DMA (docosahexanoic acid = C22:6), 10 w/w % EPA (eicosapentaenoic acid = C20:5) and 20 w/w% DPA (docosapentaenoic = C22:5n3). In the latter case, 210 g of this animal fat and 790 g of polysorbate 80 are processed into a concentrate as described above for algae oil. A water-soluble concentrate prepared in this manner contains 12% w/w of ω -3-fatty acids. To dissolve this concentrate in water, the concentrate must be first diluted with water at approximately- 45°C in

ratio by weight of about 1:2. After the solution becomes clear, it can be arbitrarily diluted without impairment of the clearness. 1.2 g of this concentrate covers the daily requirement of ω -3-fatty acids.

5

If a polysorbate other than polysorbate 80 is used, the proportions by weight of the concentrate change for achieving the desired clearness.

10 Example 2 (Essential oil solubilizte): Material:

- a)A natural orange oil <u>named NATURE</u> and obtained from the company Tutto Bianco, and having the following characteristics, as provided by the
- 15 <u>manufactureraccording to the enclosed following</u>

 Analysis Certificate of "NATURE Der grüne Zweig von
 TUTTO BIANCO".

1. Product:

- 20 1.1 Article No.: A087
 - 1.2 Charge No.: V003022
 - 1.3 Trade name: Orange oil sweet
 - 1.4 Origin: Brazil
 - 1.5 Synonym: Aurantii dulcis aetheroleum
- 25 1.6 Pharmacopoeia: BP
 - 2. Properties:
 - 2.1 Color: Clear, brownish yellow to reddish brown
- 30 <u>2.2</u> Odor: Like sweet orange
 - 2.3 Taste: Mild and aromatic
 - 2.4 Consistency: Fluid

	3. Identity				
	3.1 Gas chromatography: see b	Gas chromatography: see below			
	4. Purity				
5	4.1 Soluble in ethanol 90%	1:7 v/v not always			
		clearly soluble			
	4.2 Miscible with				
	4.3 Relative density 20°C	0.8460			
	4.4 Refractive index 20°C	1.4730			
10	4.5 Optical rotation 20°C	+95°			
	4.6 Acid number	1			
	4.7 Alkaline and acidic reac	ting substance			
•	4.8 Ester number				
	4.9 Saponification number				
15	4.10 External esters	corresponding			
	4.11 Fatty oils	corresponding			
	4.12 Resinified essential oil:	s corresponding			
	4.13 Water soluble proportion	corresponding			
	4.14 Nonvolatile proportion	3% (= evaporation			
20	·	residue)			
	4.15 Solidification point				
	4.16 Organic halogen compound	s not detectable			
	4.17 Heavy metals	not detectable			
	4.18 Aldehyde content	2 %			
25					
	Analysis results of Gas Chroma	tography:			
	0.5693% n-Decylaldehyde				
	0.3359% Anthranilic acid				
30	2.0178% Myrcene				
	95.757% D+ Limonene				
	b) 0.4646% Linalool				

b)b) Polysorbate 20

An essential oil, such as, for instance, 100 g orange oil, is stirred with 900 g polysorbate 20, until the mixture becomes clear or has homogeneous distribution. To accelerate the mixing process, the mixture can be heated, for instance, up to 80°C. A 10% orange oil concentrate prepared in this manner can be dissolved in water in any arbitrary mixing ratio. In order to accelerate the mixing process with water, the concentrate can be introduced into water heated to about 40°C and dissolved.

If one can do without clearness and the solubilizate can be used for other applications such as, for instance, for clear non-alcoholic drinks, the ratio by weight of the essential oil to polysorbate 20 can be adjusted, for instance, to 3:7. If a polysorbate other than polysorbate 20 is used, the proportions by weight in the concentrate necessary for achieving the required clearness change.

____Orange oil concentrate with higher concentration
is obtained, if about 850 g of polysorbate 20 are
heated to about 50°C to about 60°C and in this warm
polysorbate 20, about 150 g of the aforementioned
orange oil is integrated under stirring. It is
recommendable to heat the mixture again to about 85°C
and stir it until it is transparent. The 15% orange
oil concentrate thus obtained has light orange-yellow
color, it is transparent and viscous and has a
distinct orange odor. To obtain an aqueous solution of

this concentrate, the best procedure is to stir with water at about 37°C-warm. A concentrate heated to this temperature can easily be processed as desired.

- ____In analogous manner, orange oil concentrates with 10% w/w and 15% w/w can be prepared, if the respective introduced quantity of polysorbate 20 is changed accordingly and possibly stirred under mild heat. The concentrates can be used as a flavor in the production of ice cream, chewing gum and in food supplements.
- The orange oil serves here solely as an example of an essential oil. In place of orange oil, other essential oils, such as, for example, tea tree oil can be used and solubilized:

15 Material:

a) Tea tree oil (MELALEUCA ETHEROLEUM) according to the enclosed following Analysis Certificate of "NATURE Der grüne Zweig von TUTTO BIANCO". Nature.

20 MEAN RESULTS OF THE ANALYSIS

- 1. Product
- 1.1 Trade name: Tee tree oil
- 1.2 Article number: A113
- 25 1.3 Synonym: MELALEUCA

Synonym: ETHEROLEUM

1.4 Pharmacopoeia: Ph-Eur-4.01

EIEECS No. 85085-46-9; CTFA: Tea Tree Oil

Melaleuca alternifolia

30

- 2. Properties
- 2.1 Color: colorless to weakly yellowish

2.2	Odor: terpene like characte	ristic
2.3	Taste	
2.4	Consistency: clear, liquid	
3.	Purity	
3.1	Soluble in ethanol v/v%	
3.2	Miscible with: Ethanol 96%,	ether, fatly oils
3.3	Relative density 20 degrees	C 0.8950
3.4	Refractive index 20 degrees	C 1.4790
3.5	Optical rotation 20 degrees	C +10°
3.23	Shelf life after delivery d	ale: 24 months
3.24	Store with protection again	st light/air/heat
3.25	Origin: Australia	
4.	Identity	
4.1	Chromatographic profile	corresponding
4.2	Analysis results	corresponding
4.3	CHARGE No.	040051
	Allergenes contained in the	natural
	ingredients:	
	3% d-Limonene	
	AS-No.: 5989-27-5	Einecs-No+: 227-
	813-5	
h) D-1	· · · · · · · · · · · · · · · · · · ·	
b) Polyso	rbate 20	
, -	rbate 20 t 700 g of polysorbate 20 ar	

About 300 g of tea tree oil is stirred in into the warm polysorbate. The mixture is heated to approximately- 85°C and stirred until the concentrate becomes clear: The concentrate is transparent at room temperature, viscous and smells like tea tree oil. This 30% tea tree oil concentrate is water soluble.

____To improve the solubility, it is recommendable to stir the concentrate in about 40°C warm water, following which a clear aqueous solution of the concentrate is obtained.

If a different polysorbate is used, the quantity of polysorbate and tea tree oil must be changed to obtain a clear concentrate.

Example 3 (γ-terpine solubilizate):

20 Material:

5

a) γ-terpines, having the following properties: (enclosed Analysis Certificate of ROTH)

Date: 11.02.03

25 Article number: 8039

Product: y-terpines ROTICHROM® GC

Charge: 43256376

Density: 0.849

Formula: $C_{10}H_{16}$

30 Melting point: Flashpoint: 51°C

CAS Number: 99-85-4

Molecular weight: 136.24

Storing temperature: +4"C

Boiling point: 132°C

Project: defproj

Instrument: channel4

Analysis: roth4

Sample: Gamma-terpines

Injection: 1

10	Peak	Information					
	Uncor	rected RT	Area	Area	5	Peak	Name
	12.39	14.30	0.28				
	13.94	5031.02	91.28				
	14.25	39.39	0.71				
15	14.60	255.73	4.64				
	17.02	15.04	0.27				
	18.59	8.25	0.15				
	18.73	5.28	0.10				
	19.05	20.79	0.38				
20	20.10	8.72	0.15				
	20.20	5.17	0.09				
	20.38	15.53	0.28				
	20.77	7.72	0.14				
	21.70	2.92	0.05				
25	23.84	9.36	0.17				
	24.50	4.11	0.07				
	24.89	17.30	0.31				
	25.15	4.80	0.09				

30

5

 $_{--}$ 70 g of γ -terpines are mixed with 930 g polysorbate 80 and stirred until a clear and homogeneous distribution is obtained. To accelerate the mixing process, the mixture can be heated, for example to up to 80°C. A 7% γ -terpine concentrate can be dissolved in water to obtain a clear and stable solution. To accelerate the dissolution process, the concentrate can be stirred in warm water at about 40°C.

10

5

Use of a different polysorbate, which is basically possible, requires a different distribution by weight in a concentrate of γ -terpines and polysorbate.

Example 4A (phosphatidylserin solubilizate):

Material:

a)Phosphatidylserine powder (LECI - PS 90 ON of Degussa)

20

Characteristics:

LECI®-PS 90PN is a specially processed, phosphatidyl-serine-enriched, powdered soybean lecithin for use in nutritional supplements.

25

Composition:

Phosphatidylserine and small amounts of other Phospholipids, having the following fatty acid distribution

30

saturated fatty acids: 16-22 %

	monounsaturated fatty acids: 9-14 %
	polyunsaturated fatty acids: 62-71 %
	of which:
	linoieic acid: 57-65 %
5	linolenic acid: 5-8 %
	Specification:
	Phosphatidylserine (PS): 88-92 %
	lyso-Phosphatidylserine (LPS): max. 1 %
10	Phosphatidylcholine (PC): max. 2 %
	Phosphatide acid (PA): max. 5 %
	moisture: max. 1.5 %
	peroxide value: max. 5
15	Microbiological Data: max. 1000/g
	total plate count: max. 50 /g
	yeasts: max. 50 /g
	moulds: negative /g
	coliforms: negative /g
20	e-coli: negative /g
	staphylococcus aureus: negative /g
	salmonellae: negative /50g
25	b)Polysorbate 80
	c)Glycerol 85%
	80 g phosphatidylserine powder is mixed with 510 g
	polysorbate 80 and 410 g of glycerol and stirred until
30	a clear solution with homogeneous distribution is
	obtained, and heated during the stirring to about
	90°C. 7.2% phospatidylserine concentrate prepared in

this manner, heated to about 40°C, can be dissolved into a clear and stable solution.

Example 4B (phosphatidylserine solubilizate):

5

Material:

<u>a)</u> Phosphatidylserine powder (LECI - PS 20 F of Degussa)

10 Characteristics:

LECI®-PS 20F is a specially processed, phosphafidylserine enriched, liquid soybean lecithin combined with medium chain triglycerides for nutritional supplements.

15

20

25

Composition:

Mixture of non-polar (triglycerides) and polar (phospho- and glyco-) lipids, medium chain triglycerides (MCT) and a small amount of carbohydrates, having the following fatty acid distribution

saturated fatty acids: 44-50
monounsaturated fatty acids: 6-9

polyunsaturated fatty acids: 40-48

of which:

linoleic acid: 36-42 linolenic acid: 3-6

30 Specification:

Phosphatidylserine (PS) 13-24 %
Phosphatidylcholine (PC) min. 14 %

	Phosphatidylethanolami	ne (PE)	max.3 %
	Phosphatidyd'nositol (PI) max.	2 &
	moisture	max.1	<u> </u>
	iodine color value	max. 55	<u> </u>
5	(10% in toluene)		
	viscosity (25°C)	max. 10.0	<u>Pas</u>
	peroxide value	max.5	
	toluene insolubles	max. 0.3	8
	Microbiological Data: total plate count:	_)/g
	veasts:	max. 1000	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	moulds:	max. 100	
15	coliforms:	negative	<u>/g</u>
	e-coli:	negative	<u>/g</u>
	staphylococcus aureus:	nega	tive /g
	salmonellae:	<u>negative</u>	/25g

b)Polysorbate 80

c)Glycerol 85%

_____150 g of oily/viscous phosphatidylserine is mixed with 600 g polysorbate 80 and 250 g glycerol and stirred until a clear and homogeneous distribution is obtained and during the stirring heated to about 90°C. A 3.3% phosphatidylserine concentrate prepared in this manner can be dissolved in water to give a clear solution. To accelerate the process of dissolution into water, the water can be heated, for instance, to 40°C.

When a different polysorbate is used, the mixing ratio of the ingredients must be changed to obtain a clear concentrate.

5

15

20

(4) 1. •

Example 5 (lanolin solubilizate):

Material:

- a) Lanolin (wax), Product No. 259543 of the firm
- 10 Sigma-Aldrich
 - b) Polysorabate 80

50 g lanolin is mixed with 950 g polysorbate 80 and it is stirred while increasing the temperature of the mixture to about 100°C until a clear and homogeneous distribution is obtained. The concentrate obtained in this manner contains 5% w/w lanolin. This 5% lanolin concentrate can be dissolved in water to a clear and stable solution. The dissolution is accelerated if the concentrate is added to water heated to about 40°C and stirred.

Example 6 (Linoleic acid triglyceride solubilizate):

25 Material:

a) Conjugated linoleic acid triglyceride, marketed by the firm Grünau Illertissen GmbH under the brand name Selin CLA-TG.

General Information

30 <u>Triglyceride on basis of conjugated linolcic</u> acid

Composition

4)41 %

Product Description Color: slightly yellow Odor / Taste: neutral - oily 5 Delivery form: liquid Specification Provisional specifications: 10 Acid number: max, 3 Iodine number: 115 - 127Hydroxyl number: max. 10 Water content: max. 0.2% Unsaponifiable: max. 13 15 Fatty acid spectrum: < C16 max. 1% C16:1 max. 1% CI3 max. 3% 20 C18:1 19-34% CI8:2 conj. 58 - 67% Cl8:2 2 - 98 CI8:3 max. 1% > C13 max. 1% 25 Additional information The use of conjugated linoleic acid (Selin® CLA) or conjugated linoleic acid triglyceride (Selin® CLA-TG) as a food supplement belongs 30 largely to freely available state of the an. All the same, the GRÜNAU ILLERTISSEN GmbH feels it as its duty to draw the attention of

its customers to the following Industrial

Property Rights of the Wisconsin Alumni Research Foundation (WARF);

b)Polysorbate 80

5

G 00 4

____50 g of oily, viscous linoleic acid triglyceride is mixed with 950 g polysorbate 80 and stirred at temperature of about 100°C until clear and homogeneous distribution of the components is obtained. The concentrate prepared in this manner contains 5% w/w of the mentioned active substance. The concentrate can be dissolved in water to a give clear and homogeneous solution. The dissolution process is accelerated, if it is dissolved in water at about 40°C for instance.

15

20

10

____Use of a different polysorbate, possible in principle, requires change in the weight proportions of the components of the concentrate. Regarding the importance of the conjugated linoleic acid triglyceride, reference is made to the document EP-B-579 901.

Example 7 (Citral solubilizate):

25 Material:

- a)Citral (Degussa, Lot No. 1000103751)
- b)Polysorbate 20
- c)Ethanol
- At first, about 430 g of polysorbate 20 are heated to about 50°C to 60°C. In the hot polysorbate, about 70 g oily citral is introduced and stirred and during

the stirring, the temperature of the mixture is increased to 85°C. The mixture is stirred at this temperature until it becomes homogenous. Thereafter about 500 g 96% ethanol is added to the cold mixture. The concentrate obtained in this manner is transparent, viscous and develops a mild lemon like odor. The concentrate contains about 7% w/w citral.

____The concentrate is water soluble; to accelerate the process of dissolution, it is recommended to heat the water to about 40°C.

_____To prepare a 14% citral concentrate, in about 860 g of polysorbate 20, heated to about 50°C to about 60°C, about 140 g of oily citral is added. After stirring it in completely, the temperature of the mixture is increased during the stirring to about 85°C, and the stirring is continued further, until a clear and homogeneous mixture is visible. After cooling, one obtains a transparent, viscous 14% citral concentrate with mild lemon like odor, which is soluble in water. Here also, the dissolution into water is accelerated, if water is heated mildly to about 40°C.